# **Dilation-5 Embedding of 3-Dimensional Grids into Hypercubes**

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## Abstract

We present an algorithm to map the nodes of a 3dimensional grid to the nodes of its optimal hypercube on a one-to-one basis with dilation at most 5.

## 1 Introduction

A binary hypercube of dimension n, is an undirected graph of  $2^n$  nodes labeled 0 to  $2^n - 1$  in binary where two nodes are connected if and only if their labels differ in exactly one bit position. Since a hypercube has a regular structure with a rich interconnection, it is a popular multiprocessor computer architecture. An embedding for a 3-D grid into a hypercube can be viewed as a high level description of an efficient method to simulate an algorithm designed for a parallel computer with a 3-D grid structure on a parallel computer with a hypercube structure. Here we are interested in the problem of mapping the nodes of any 3-D grid into the nodes of its optimal hypercube (the smallest hypercube with at least as many nodes as the grid) on a one-to-one basis, so that dilation (the worst case distance between grid-neighbours in the hypercube) is bounded by a small constant.

It is known that every 2-D grid can be embedded into its optimal hypercube with at most dilation 2 [C1, C2], [HLV]. This result is optimal as it has been proven that over 38 percent of all 2-D grids need at least dilation 2 [BS]. However, not much is known about the optimal dilation for embedding 3-D grids into optimal hypercubes. A non-trivial extension of the technique in [C1, C2] for embedding 3-D grids into optimal hypercubes with at most dilation 7 was given by Chan in [C3]. A dilation-6 embedding scheme was derived later in [LH]. In this paper, we introduce a simple dilation-5 embedding strategy for embedding 3-D grids into optimal hypercubes.

#### 2 General Outline

Consider a 3-D grid G of size  $\alpha \times \beta \times \gamma$ . Our objective is to label each node of G with a unique  $\lceil \log_2 \alpha \beta \gamma \rceil$ -bit binary number, which effectively names the node in the optimal  $\lceil \log_2 \alpha \beta \gamma \rceil$ -cube to which it is mapped. G can be seen as comprising of  $\gamma$  layers of 2-D grids each of size  $\alpha \times \beta$ . Let  $l = 2\lfloor \log_2 \alpha \beta \rfloor$ . To aid the assignment of binary labels, we will partition G's nodes into l groups, called *links*, evenly in the sense that when counting from layer 0 to layer k ( $0 \le k \le \gamma - 1$ ), the number of nodes belonging to any particular link is either  $\lfloor (k + 1)\alpha\beta/l \rfloor$  or  $\lceil (k + 1)\alpha\beta/l \rceil$ .

Partitioning G's nodes into l links is equivalent to determining a unique pair of numbers for each node of G, namely, a *link-number* and a *bead-number*. A node's link-number indicates the link to which a node belongs, while its bead-number tells its position in that link. After the partitioning, we will use a node's linknumber to determine the first  $\log_2 l$  bits of its binary label, which we will call the *link-label*. And we will use a node's bead-number to determine the remaining bits of its binary label, which we will call the *bead-label*.

# 3 Preliminaries

In [HLV], a general embedding strategy for embedding 2-D grids into 2-D grids (of different sizes) was introduced. In particular, it can be used to embed an  $\alpha \times \beta$  guest grid into an  $\alpha' \times \beta'$  host grid where  $\alpha' = 2\lfloor \log_2 \alpha \rfloor$  and  $\beta' = \lceil \alpha \beta / \alpha' \rceil$ . (Note that in [HLV], the embedding strategy was described for embedding a  $h \times w$  guest grid into a  $h' \times w'$  host grid where  $w' \leq w$  and  $h' = \lceil hw/w' \rceil$ , here we swapped the roles of row and column for later convenience.)

As the embedding is one-one,  $\alpha'\beta'$  has to be greater than  $\alpha\beta$ , and some nodes in the  $\alpha' \times \beta'$  host grid do not correspond to any node in the  $\alpha \times \beta$  guest grid. A nice property of this embedding is that any unmapped node is only found in the last column of the  $\alpha' \times \beta'$  host grid. So we can view the above embedding as one that embeds an  $\alpha \times \beta$  grid into a jagged grid of  $\alpha'$  rows where each row consists of either  $\beta'$  or  $\beta'-1$  nodes, and the total number of nodes of the jagged grid is exactly  $\alpha\beta$ . Figure 1 shows an example of such an embedding. It is proven in [HLV] that this method yields a dilation-2 embedding for any  $\alpha \times \beta$  guest grid. A careful study of the proof will reveal that the method actually ensures that any two neighbouring nodes in the  $\alpha \times \beta$  grid can only be mapped to one the following 5 sets of relative positions in the  $\alpha'$ -row jagged grid:  $\{[x, y], [x, y+1]\}, \{[x, y], [x, y+2]\}, \{[x, y], [x+1, y]\},\$  $\{[x, y], [x+1, y-1]\}, \{[x, y], [x+1, y+1]\}$  where [x, y]denotes the position in row x, column y of the jagged grid. We will utilize this 2-D grid embedding method as the first step of our embedding stratedgy, and we will refer to it as the trio's method.

The process of partitioning G into l links depends on a length-l vector of 1's and 2's,  $v(\alpha, \beta)$  [C2].

#### **Definition 1** Define

$$v(\alpha,\beta) = [v_0, v_1, v_2, \dots, v_{l-1}]$$

$$= \begin{bmatrix} \alpha\beta/l \\ \alpha\beta/l \\ 2\alpha\beta/l \\ 3\alpha\beta/l \end{bmatrix} - \begin{bmatrix} \alpha\beta/l \\ \alpha\beta/l \\ 2\alpha\beta/l \end{bmatrix}^T$$

$$\vdots$$

$$[(l-1)\alpha\beta/l] - \lfloor (l-2)\alpha\beta/l \rfloor$$

where  $l = 2 \lfloor log_2 \alpha \beta \rfloor$ 

Basically, v is defined so that the 2's are evenly distributed among the 1's when there are more 1's than 2's and vice versa when there are more 2's than 1's. The vector v has a Cyclic Sum Property which is stated below.

**Definition 2** For  $0 \le s \le l-1$  and  $k \ge 0$ , define

$$CYCLIC-SUM(s,k) = \sum_{i=s}^{s+k-1} v_{i \mod l} [C2]$$

Fact 1 (Cyclic Sum Property)  $\lfloor k\alpha\beta/l \rfloor \leq CYCLIC-SUM(s,k) \leq \lceil k\alpha\beta/l \rceil$ for  $0 \leq s \leq l-1, k \geq 0$ 

To determine the final binary label given to each node the binary reflected Gray code sequence is used.

**Definition 3** For  $t \ge 0$  and  $0 \le p \le 2^t - 1$ , define

GRAY(t,p) = (p+1)th element of the t-bit binary reflected Gray code sequence For example,  $GRAY(3,5) \equiv 111$  since 111 is the 6th element of (000, 001, 011, 010, 110, 111, 101, 100).

Fact 2 (Gray Code Property) In the t-bit binary reflected Gray code sequence, for any p such that  $0 \le p \le 2^t - 1$  and for any  $i \ge 0$ , the number of differing bits of GRAY(t, p) and  $GRAY(t, (p \pm i) \mod 2^t)$  is at most i.

# 4 Dilation-5 Embedding Strategy

The following steps are illustrated by Figures 1 to 4 using a  $5 \times 5 \times 5$  grid as an example. Let  $l = 2\lfloor \log_2 \alpha \beta \rfloor$ ,  $\tilde{\alpha} = 2\lfloor \log_2 \alpha \rfloor$  and  $\tilde{\beta} = l/\tilde{\alpha}$ .

- 1. Transform to  $\gamma$  layers of jagged grids: Using the trio's algorithm, transform all  $\gamma$  layers of  $\alpha \times \beta$  2-D grids into  $\gamma$  layers of identical 2-D jagged grids of  $\tilde{\alpha}$  rows. (See Figure 1)
- 2. Partition each jagged layer into cells: Imagine there is a super-chain spanning all the nodes of a layer for each of the  $\gamma$  jagged layers. Divide each super-chain, hence jagged layer, into l cells according to vector  $v(\alpha, \beta)$  and label the cells from 0 to l-1. Therefore the number of nodes in cell *i* should be equal to  $v_i$  ( $0 \le i \le l-1$ ). (See Figure 2)
- 3. Determine the link-number of each node: For any node  $\mathcal{N}$ , if  $\mathcal{N}$  is in cell c  $(0 \le c \le l-1)$ of layer k  $(0 \le k \le \gamma - 1)$ , its link-number is

$$LINK(\mathcal{N}) = (c-k) \mod l$$

(See Figure 3)

4. Determine the bead-number of each node: For any node  $\mathcal{N}$ , if  $\mathcal{N}$  is in cell c  $(0 \le c \le l-1)$ of layer k  $(0 \le k \le \gamma - 1)$ , its bead-number is

$$BEAD(\mathcal{N}) = CYCLIC-SUM(LINK(\mathcal{N}), k) + \delta(\mathcal{N})$$

where  $\delta$  is defined as follows: let  $t = \left\lfloor c/(\tilde{\beta}+2) \right\rfloor$ if t is even (odd),

$$\delta(\mathcal{N}) = \begin{cases} 1 & \text{if } \mathcal{N} \text{ has an immediately} \\ & \text{preceding (succeeding) node } \mathcal{M} \\ & \text{in its super-chain such that} \\ & LINK(\mathcal{M}) = LINK(\mathcal{N}) \\ & 0 & \text{otherwise} \end{cases}$$

(See Figures 3 and 4)

5. Determine the link-label of a node: For any node  $\mathcal{N}$ , define  $LK1(\mathcal{N}) = \lfloor LINK(\mathcal{N})/\tilde{\beta} \rfloor$  and  $LK2(\mathcal{N}) = LINK(\mathcal{N}) \mod \tilde{\beta}$ , the link-label of  $\mathcal{N}$  is

$$GRAY(\log_2 \tilde{\alpha}, LK1(\mathcal{N}))GRAY(\log_2 \beta, LK2(\mathcal{N}))$$

6. Determine the bead-label of a node: For any node  $\mathcal{N}$ , its bead-label is

$$GRAY([\log_2 \alpha \beta \gamma] - \log_2 l, BEAD(\mathcal{N}))$$

7. Concatenate the link-label and bead-label to get the complete binary label for every node.

# 5 Dilation Analysis

We will call any neighbouring nodes in the same layer of a 3-D grid G horizontal neighbours and any neighbouring nodes at the same position of 2 adjacent layers of G vertical neighbours.

Let us consider horizontal neighbours first.

For any horizontal neighbours  $\mathcal{N}_1$  and  $\mathcal{N}_2$  of the grid G, they must be mapped to the same jagged grid. Moreover, the trio's method will map them to one of the following 5 sets of relative positions: {[x, y], [x, y+1]}, {[x, y], [x, y+2]}, {[x, y], [x+1, y-1]}, {[x, y], [x+1, y+1]}.

WLOG, for Lemma 1 to Lemma 5 assume that  $\mathcal{N}_1$  is mapped to position [x, y] of a jagged layer, and  $\mathcal{N}_1$  is in cell  $p, \mathcal{N}_2$  is in cell q  $(0 \le p, q \le l-1)$ .

Lemma 1 If  $\mathcal{N}_2$  is mapped to [x, y+1] or [x, y+2], then  $p \leq q \leq p+2$ .

Proof outline: Note that each cell contains 1 or 2 nodes. If  $\mathcal{N}_2$  is mapped to [x, y + 1], then  $p \leq q \leq p+1$ . If  $\mathcal{N}_2$  mapped to [x, y+2], then  $p+1 \leq q \leq p+2$ .

**Lemma 2** If  $\mathcal{N}_2$  is mapped to [x+1, y-1], [x+1, y]or [x+1, y+1], then  $p + \tilde{\beta} - 2 \le q \le p + \tilde{\beta} + 2$ .

**Proof outline:** We can prove this lemma by counting the number of nodes from  $\mathcal{N}_1$  to  $\mathcal{N}_2$  in their superchain and using the Cyclic Sum Property of the vector v used in constructing the cells.  $\Box$ 

**Lemma 3** The number of differing bits of the linklabels of  $\mathcal{N}_1$  and  $\mathcal{N}_2$  is at most 3 if  $p \leq q \leq p+2$  or  $p + \tilde{\beta} - 2 \leq q \leq p + \tilde{\beta} + 1$ , and is at most 4 if  $q = p + \tilde{\beta} + 2$ . *Proof outline:* Let q = p + i  $(i = 0, 1, 2, \tilde{\beta} - 2, \tilde{\beta} - 1, \tilde{\beta}, \tilde{\beta} + 1$  or  $\tilde{\beta} + 2$ ). It can be proved that

$$LK1(\mathcal{N}_2) = (LK1(\mathcal{N}_1) + r) \mod \tilde{\alpha}$$
  
where  $r = \lfloor i/\tilde{\beta} \rfloor$  or  $\lfloor i/\tilde{\beta} \rfloor$   
$$LK2(\mathcal{N}_2) = (LK2(\mathcal{N}_1) + i \mod \tilde{\beta}) \mod \tilde{\beta}$$
  
and  $(LK2(\mathcal{N}_1) + (\tilde{\beta} - i) \mod \tilde{\beta}) \mod \tilde{\beta}$ 

Substituting i and using the Gray Code Property, the results can be proved.  $\hfill \Box$ 

**Lemma 4** The number of differing bits of the beadlabels of  $\mathcal{N}_1$  and  $\mathcal{N}_2$  is at most 2.

Proof outline: By the definition of bead-number and the Cyclic Sum Property,  $|BEAD(\mathcal{N}_1) - BEAD(\mathcal{N}_2)| \leq 2$ . Hence the result by the Gray Code Property.

**Lemma 5** If  $q = p + \tilde{\beta} + 2$ , the number of differing bits of the bead-labels of  $\mathcal{N}_1$  and  $\mathcal{N}_2$  is at most 1.

Proof outline: Note that one of  $\lfloor p/(\tilde{\beta}+2) \rfloor$  and  $\lfloor q/(\tilde{\beta}+2) \rfloor$  must be odd and the other must be even. (This is the reason why the factor  $\tilde{\beta}+2$  is used in defining the function  $\delta$ .) Then it can be proved that  $|BEAD(\mathcal{N}_1) - BEAD(\mathcal{N}_2)| \leq 1$  using the Cyclic Sum Property. Hence the result by the Gray Code Property.

Now, let us consider vertical neighbours.

For the following 2 lemmas, let  $\mathcal{M}$  and  $\mathcal{N}$  be any vertical neighbours such that  $\mathcal{M}$  is above  $\mathcal{N}$ . Since all layers are transformed into jagged grids in the same way,  $\mathcal{M}$  and  $\mathcal{N}$  will be mapped to the same position of 2 adjacent jagged grids.

**Lemma 6** The number of differing bits of the linklabels of  $\mathcal{M}$  and  $\mathcal{N}$  is at most 2.

Proof outline: With some arithmetic manipulation, we can show that  $LK1(\mathcal{M}) = LK1(\mathcal{N}) \mod \tilde{\alpha}$  or  $(LK1(\mathcal{N}) + 1) \mod \tilde{\alpha}$  and  $LK2(\mathcal{M}) = (LK2(\mathcal{N}) + 1) \mod \tilde{\beta}$ . Thus the number of differing bits in both components of the link-labels of  $\mathcal{M}$  and  $\mathcal{N}$  are at most 1.

**Lemma 7** The number of differing bits of the beadlabels of  $\mathcal{M}$  and  $\mathcal{N}$  is at most 2.

Proof outline: Since  $\mathcal{M}$  and  $\mathcal{N}$  are mapped to the same position of 2 jagged grids,  $\delta(\mathcal{M}) = \delta(\mathcal{N})$ . So

 $BEAD(\mathcal{N}) - BEAD(\mathcal{M}) = 1$  or 2. Hence the result by the Gray Code Property.  $\Box$ 

The number of differing bits of the binary labels is at most 5 for any horizontal neighbours in G by Lemmas 3 to 5, and is at most 4 for any vertical neightbours by Lemmas 6 and 7. So the strategy described gives a dilation-5 embedding for any 3-D grid G.

#### References

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Figure 1: Transform a rectangular grid into a jagged grid by the trio's method



Figure 2: Partition of a jagged grid into l cells. Note that the dotted line represents the super-chain and each small circle or oval represents a cell. v(5,5) = [2, 1, 2, 1, 2, 1, 2, 1, 2, 1, 2, 1, 2, 1, 2]

layer 0	
layer l	
layer 2	
layer 3	
layer 4	

Figure 3: An example of link-number and bead-number assignments. Nodes of link 3 are shown with their bead-numbers

Figure 4: Values of  $\delta$  function for the nodes of one layer